

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Reichert et al.

Serial No.: Not yet assigned

Filed: October 10, 2001

For: INTEGRATED OPTIC WAVEGUIDE

**IMMUNOSENSOR** 

Examiner: Unknown

Group Art Unit: Unknown

Attorney Docket No.: 2455.3US

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## **INFORMATION DISCLOSURE STATEMENT**

Box PATENT APPLICATION Commissioner for Patents Washington, D.C. 20231

Sir:

The present application is a continuation of application Serial No. 09/412,731, filed October 5, 1999, pending, which is a continuation of application Serial No. 08/961,827, filed October 31,1997, now U.S. Patent 5,961,924,which is a continuation of application Serial No. 08/393,450, filed on February 23, 1995, now U.S. Patent 5,814,565.

Pursuant to M.P.E.P. 2001.06(b), the Examiner is respectfully requested to consider the information of record in the prior applications, and to confirm in the first Office Action on the merits that such art has in fact been reviewed. A PTO-1449 form listing all of the information of record in the prior applications is enclosed herewith. Applicant has recently become aware of patent 5,822,472 which was not filed in the original patent and is therefore enclosed for your consideration.

## Attorney Docket No. 2455.3US

This Information Disclosure Statement is filed within three (3) months of the filing date of the above-identified application, and no certification pursuant to 37 C.F.R. § 1.97(c) or a fee pursuant to 37 C.F.R. 1.17(p) is required.

Respectfully submitted,

Brick G. Power

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Date: October 10, 2001

BGP/jml:djp

Enclosure:

Form PTO-1449

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Form PTO-1449

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional) 2455.3US	Application Number Not y t assigned

Applicant Reichert t al.

(Use several sheets if necessary)			Filing Date October 10, 2001		Group Art Unit Unknown						
U.S. PATENT DOCUMENTS											
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
<u> </u>	3,934,061	01/20/76	Keck & Schultz								
<del> </del>	3,939,350	02/17/76	Kronick et al.					·			
	4,166,105	08/28/79		Hirschfeld							
	4,264,766	04/28/81		Fischer							
	4,815,843	03/28/89	т	iefenthaler et al.							
	4,857,273	08/15/89		Stewart							
	4,880,752	11/14/89		Keck							
	4,909,990	03/20/90		Block et al.							
	5,006,333	04/09/91		Seifer et al.							
	5,006,716	04/09/91		Hall							
	5,043,278	08/27/91		Nagaoka et al.							
		FOREIG	N PATE	NT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	Translation				
	WO 88/10418	12/29/88	PCT				YES	NO			
	2,220,083	12/28/89	GB								
	9,001,166	02/08/90	РСТ								
		0	THER D	OCUMENTS	(Including A	uthor Title Date	Partinant F	Pages Eta l			
	Applied Spectroscopy 42: 68-72, J.T. Ives and W.M. Reichert, 1988										
	Suci, van	"Total internal reflection fluorescence surface sensors", Ives, Reichert, Lin, Hlady, Reinecke, Suci, VanWagenen, Newby, Herron, Dryden and Andrade, Optical Fiber Sensors, pp. 391-396, eds. A.N. Chester et al., 1987 (M. Nijhoff, publ.)									
	Spectrosc	"Integrated optical waveguide attenuated total reflection spectrometry and resonance Raman spectroscopy of adsorbed cytochrome c", D.S. Walker, H.W. Hellings, S.S. Saavedra, and W.M. Reichert, <u>J. Phys. Chem.</u> <u>97</u> : 10217-10222 (1993)									
	"Corning in search	"Corning 7059, silicon oxynitride, and silicon dioxide thin-film integrated optical waveguides: in search of low loss, nonflourescent, reusable glass waveguides", D.S. Walker, W.M. Reichert, and C.J. Berry, Applied Spectroscopy 46: 1437-1441 (1992)									
AMINER.				DATE CONSIDERED		_,					

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Sheet 2 of 3 Form PTO-1449 Docket Number (Optional) **Application Number** 2455.3US Not yet assigned INFORMATION DISCLOSURE CITATION Applicant Reichert tal. IN AN APPLICATION (Use several sheets if necessary) Filing Date October 10, Group Art Unit Unknown 2001 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT INITIAL DATE FILING DATE NAME NUMBER CLASS SUBCLASS 5,081,012 01/14/92 Flanagan 5,166,515 11/24/92 Attridge 5,192,502 03/09/93 Attridge 5,248,620 09/28/93 Sluka et al. 5,344,784 09/06/94 Attridge 5,589,136 12/1996 Northrup et al. 5,814,565 09/29/98 Reichert et al. 5,822,472 10/13/98 Danielzik et al. 5,846,708 12/1998 Hollis et al. 5,959,292 09/28/99 Duveneck et al. Re. 33,064 09/19/89 Carter Re. 34,394 09/28/93 **Bunting** FOREIGN PATENT DOCUMENTS DOCUMENT DATE Translation NUMBER COUNTRY CLASS **SUBCLASS** NO 9,006,503 06/14/90 PCT 9.113.339 09/05/91 PCT. OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Lee, Jin Ho; Kopecek, J. and Andrade, J.D., "Protein-resistant surfaces prepared by PEOcontaining block copolymer surfactants", Journal of Biomedical Materials Research, Published by John Wiley & Sons, pg. 351-368 (1989) Carlsson, J.; Drevin, K. and Axen, R., "Protein Thiolation and Reversible Protein-Protein Conjugation, Biochem J. (1978) 173, 723-737, Printed in Great Britain. Choquette, Steven J., et al., "Planar Waveguide Immunosensor with Fluorescent Liposome Amplification," Analytical Chemistry, 64(1):55-60, January 1, 1992. Fattinger, Ch., et al., "The Difference Interferometer: A Highly Sensitive Optical Probe for Quantification of Molecular Surface Concentration," Biosensors & Bioelectronics, 8:99-107, 1993. **EXAMINER** DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Sheet 3 of 3 Form PTO-1449 Docket Number (Optional) **Application Number** 2455.3US Not yet assigned INFORMATION DISCLOSURE CITATION Applicant Reichert et al. IN AN APPLICATION (Use several sheets if necessary) Filing Date Oct b r 10, Group Art Unit Unknown 2001 **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT INITIAL DATE NUMBER NAME FILING DATE CLASS SUBCLASS IF APPROPRIATE FOREIGN PATENT DOCUMENTS DOCUMENT Translation NUMBER DATE COUNTRY CLASS SUBCLASS YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Ho, Z.Z., et al., "Large Area Waveguide Sensor for Multiple Analytes Detection," SPIE, 2136:344-351, 1994. Huber, W., et al., "Direct Optical Immunosensing (Sensitivity and Selectivity)," Sensors and Actuators, B.6:122-126, 1992. Discovery Technologies AG, Third-Party Observation, August 25, 1999. D.K.W. Lam, "Low temperature plasma chemical vapor deposition of silicon oxynitride thinfilm waveguides", Applied Optics, 23(16):2744-46, August 15, 1984. **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.